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FROM: Michael A. DeSanctis, Esq.

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TO: Commissioner for Patents
USPTO - Group Art No. 3629TELEPHONE: (703) 305-4645
FAX: (703) 872-9306

MESSAGE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Examiner:

DIXON, Thomas A.

Jeff N. Maggioncalda et al.

Art Unit:

3629

Serial No.: 09/904,707

Confirmation No.:

4572

Filed: July 12, 2001

Atty. Docket No.: 59036-249737

For: USER INTERFACE FOR A FINANCIAL ADVISORY SYSTEM THAT ALLOWS AN END USER TO
INTERACTIVELY EXPLORE TRADEOFFS AMONG INPUT DECISIONS (*as previously amended*)

CERTIFICATE OF TRANSMISSION UNDER 37 CFR 1.8

I HEREBY CERTIFY THAT AN **Amendment & Response** IS BEING FACSIMILE TRANSMITTED TO THE UNITED STATES PATENT
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Michelle L. Wyss

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MAR 21 2005

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amended*)

Examiner: Thomas A. Dixon

Art Unit: 3629

Confirmation No.: 4572

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT this correspondence is being transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage via first-class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 21, 2005.

FAEGRE & BENSON LLP

Michelle L. Wyss

Mail Stop **AMENDMENT**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE TO OFFICE ACTION

Dear Sir:

In response to the Office Action mailed February 15, 2005, the Applicant respectfully requests the Examiner to enter the following amendment and to consider the following remark:

Amendment to the Claims is reflected in the listing of claims which begin on page 2 of this paper.

Remarks begin on page 21 of this paper.